

Department of the Army
Headquarters, United States Army Forces Command
1777 Hardee Avenue, SW.
Fort McPherson, Georgia 30330-1062
15 September 1998

*FORSCOM Regulation 220-2

Field Organizations
ORGANIZATIONAL COMPLIANCE EVALUATION PROGRAM (OCEP)

History. This regulation supersedes FORSCOM Regulation 220-2, dated 1 January 1997.

Summary. This regulation establishes policies and responsibilities for planning and conducting the Organizational Compliance Evaluation Program (OCEP). The OCEP is intended to meet the compliance inspection and reporting requirements of the Army National Guard Combat Readiness Reform Act of 1992 (Title XI of Public Law 102-484; 106 Stat. 2536) and the National Defense Authorization Act of 1994, as amended. The primary objective is to provide indicators of compliance with regulations, policies, and guidance which affect preparedness to mobilize and deploy.

Applicability. This regulation applies to eSB, LAD C+30 or less, FSP 1, and FSP 2 Army National Guard (ARNG) and United States Army Reserve (USAR) units in CONUS, the Commonwealth of Puerto Rico, and the Virgin Islands, less Special Operations Forces.

Supplementation. Supplementation of this regulation is prohibited without prior approval from Headquarters, United States Army Forces Command (FORSCOM), ATTN: AFOP-TRO, 1777 Hardee Avenue, SW., Fort McPherson, GA 30330-1062.

Supplements to this publication will not supersede, change, rescind or duplicate higher level command policy. When an addition, change, or deletion is needed, the first consideration will be given to changing the basic document. A separate publication governing the same subject is not an acceptable alternative.

Changes. Changes to this regulation are not official unless they are authenticated by the Deputy Chief of

Staff for Command, Control, Communications, and Computers, FORSCOM. Users will destroy changes on their expiration dates unless sooner superseded or rescinded.

Suggested improvements. The proponent agency of this regulation is Deputy Chief of Staff for Operations, HQ FORSCOM, 1777 Hardee Avenue, SW., Fort McPherson, GA 30330-1062. Users are invited to send comments and suggested improvements on DA Form 2028, Recommended Changes to Publications and Blank Forms to Cdr, FORSCOM, ATTN: AFOP-TRO, 1777 Hardee Avenue, SW., Fort McPherson, GA 30330-1062.

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FOR THE COMMANDER:

OFFICIAL:	JOHN M. PICKLER
	Lieutenant General, USA
	Chief of Staff

Signed
DALE E. PEYTON
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Assistant Deputy Chief of Staff for
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***This Regulation supersedes FORSCOM Regulation 220-2, dated 1 January 1997.**

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CHAPTER 1

General

1-1. Purpose

a. This regulation establishes policies and responsibilities for planning and conducting the Organizational Compliance Evaluation Program (OCEP).

b. The intent of the OCEP is to provide indicators of compliance with regulations, policy, and guidance which affect preparedness to mobilize and deploy.

1-2. References

Required and related references are listed in **Appendix A**.

1-3. Explanation of Abbreviations and Terms

Abbreviations and special terms used in this regulation are explained in the **Glossary**.

CHAPTER 2

Responsibilities

2-1. Commander, Forces Command

a. Establish policies and directives implementing the OCEP.

b. Task FORSCOM installations and units to support OCEP as necessary.

c. Schedule and host OCEP standardization conferences with representatives from the CONUSA, NGB, and USARC.

2-2. Commander, Continental United States Armies (CONUSA)

a. Oversee compliance evaluation programs. This may include review of corrective action plans, review of evaluation schedules, crosswalk of compliance evaluation results with other assessments and spot checks of unit inspections.

b. Receive compliance summary reports from TAGs and USARC.

c. Compile and analyze summary reports to prepare semiannual and annual reports to FORSCOM. Provide copies to NGB and USARC. Include trends/systemic issues and recommended changes in policy to improve preparedness to mobilize and deploy.

d. Provide input to FORSCOM for necessary OCEP changes (through submission of DA Form 2028).

e. Provide assistance to TAGs and USARC as requested.

2-3. The Director, Army National Guard

a. Establish policy and procedures necessary to implement the OCEP.

b. Provide input to FORSCOM for proposed OCEP changes (through submission of DA Form 2028).

c. Review CONUSA semiannual and annual reports.

2-4. The Adjutants General (TAGs) and Commander, United States Army Reserve Command (USARC)

a. Incorporate compliance inspections into the Organization Inspection Program (OIP).

b. Provide input to FORSCOM for necessary OCEP changes (through submission of DA Form 2028).

c. Allow CONUSA visits to observe conduct of compliance portions of the OIP.

d. Schedule compliance evaluations IAW the minimum frequencies in para 3. Provide tentative schedule to CONUSA 180 days prior to beginning of the Fiscal Year (FY) with updates as necessary.

e. Complete OCEP summary report and cover memoranda. Include in the cover memoranda deficiencies which preclude deployment and performance of wartime missions.

f. Submit OCEP summary reports and cover memoranda to CONUSA within 15 days of completion of each quarter.

g. Maintain file of compliance related corrective action plans and make file available for review by CONUSA.

CHAPTER 3

Policy and Procedures

3-1. Scheduling

a. Minimum frequency of OCEP evaluations for units in FSP 1 is at least every other FY. Minimum frequency for Enhanced Separate Brigades (eSB), FSP 2, and LAD C+30 or less units is at least every third FY.

b. The TAGs and USARC can reschedule units as long as the minimum frequency of evaluation is maintained.

c. The CONUSA must approve all cancellations of OCEP inspections.

d. The OCEP will be conducted at the company/detachment level.

3-2. Reporting

a. A summary report and cover memorandum will be prepared for each completed

OCEP. The purpose of the report is to provide standardized indicators of unit compliance. Summary reports must be forwarded to the CONUSA once each quarter, NLT 15 days after the end of the quarter. **See Figure 3-1**, Sample OCEP Summary Report Cover Memorandum.

(1) The first paragraph of the cover memorandum will address deficiencies which preclude deployment and performance of wartime missions.

(2) The second paragraph will contain comments not specifically covered in paragraph 1 and may also address critical automation shortfalls by exception.

(3) The completed OCEP summary report will be attached. **See Figure 3-2**, OCEP Summary Report.

b. The semiannual and annual reports are consolidations of the compliance evaluation results. These reports will be prepared by the CONUSA and forwarded to FORSCOM NLT 30 days after the completion of the second quarter and FY, with copy furnished to NGB and USARC. All semiannual and annual reports will identify trends and recommend improvements to correct systemic shortfalls. **See Figure 3-3**, Sample OCEP Semiannual/Annual Report Cover Memorandum, and **Figure 3-4**, Sample OCEP Semiannual/Annual Report.

c. The OCEP summary reports with cover memorandums, Semiannual OCEP Report, and Annual OCEP Report are exempt from reporting requirements IAW AR 335-15. Cite "RCS Exempt: AR 335-15, para 5-2e(7)" in the subject line of all report correspondence.

3-3. Visitation Policy During OCEP

a. Visitors (including CONUSA oversight teams) will be allowed at the OCEP site provided they have coordinated with the TAGs/USARC.

b. The following information will be furnished to the TAGs/USARC at least 90 days before site visits:

(1) Name and job description of the senior visitor and other members in the visiting party.

(2) Purpose for the visit.

(3) Primary and alternate visit dates.

(4) Specific personnel to be contacted.

(5) POC for additional information.

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XXXX-XXX (220-1a)

MEMORANDUM FOR Commander, X United States Army, ATTN: XXXX

SUBJECT: Headquarters and Service Company (HSC), XXXth Battalion
Organizational Compliance Evaluation Program (OCEP) Summary
Report

1. The first paragraph will include date evaluation completed and deficiencies which preclude deployment and performance of wartime missions.

2. The second paragraph will address comments not specifically covered in paragraph 1. Critical automation hardware/software/training issues may be addressed by exception. Enclosed is completed Compliance Evaluation Summary.

3. For further information, contact the Team Chief, DSN: XXX-XXXX or XXX-XXX-XXXX.

FOR THE COMMANDER:

Encl
as

XXXXXXXXXXXXXXXXX
COL, XX
XXXXXXXXXX

Figure 3-1. Sample OCEP Summary Report Cover Memorandum

ORGANIZATIONAL COMPLIANCE EVALUATION PROGRAM SUMMARY REPORT (FORSCOM Reg 220-2)					Requirements Control Symbol AFOP-370
UNIT	UIC	SRC	STATE/RSC	DATE	
SECTION I - PERSONNEL					
1. NUMBER OF SOLDIERS NONDEPLOYABLE DUE TO PROFILE, AVAILABLE AND DMOSQ. CHECK 100% OF RECORDS AND DOCUMENT SKILL QUALIFICATIONS UP TO 4 DIGITS, 9 DIGITS FOR LANGUAGE.					
	REQUIRED	ASSIGNED	NUMBER NONDEPLOYABLE DUE TO PROFILE	NUMBER AVAILABLE	NUMBER AVAILABLE DMOSQ
OFFICERS					
WO/CWO					
ENLISTED					
TOTAL					
2. NUMBER OF RECORDS CURRENT. (Check 100% of records.)					
RECORDS			NUMBER CHECKED	NUMBER CURRENT	
DENTAL EXAMINATIONS					
FAMILY CARE PLANS					
DEERS ENROLLMENT					
SECTION II - MAINTENANCE					
1. PMCS SPOT CHECKS (Check 100% or a random sample of 30 pieces of each item, whichever number is smaller.)					
TYPE EQUIPMENT			NUMBER CHECKED	NUMBER MISSION CAPABLE	
PACING ITEMS					
OTHER TACTICAL VEHICLES					
INDIVIDUAL WEAPONS					
CREW SERVED WEAPONS					
PROTECTIVE MASKS					
OTHER NBC EQUIPMENT					
COMMUNICATIONS EQUIPMENT					
2. NUMBER OF SERVICES SCHEDULED AND CONDUCTED ON PACING ITEMS IN THE LAST SIX MONTHS.					
SERVICES SCHEDULED			SERVICES COMPLETED		
SECTION III - SUPPLY					
HOW MANY OF THE FOLLOWING OCIE/NBC ITEMS DOES THE UNIT HAVE ON THE PROPERTY BOOK?					
HELMET	BODY ARMOR	BATTLE DRESS OVERGARMENT	PROTECTIVE MASK		

Figure 3-2. FORSCOM Form 1050-R, OCEP Summary Report

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SECTION IV - TRAINING		YES	NO
1. HAS THE UNIT METL BEEN SUBMITTED TO THE APPROVING AUTHORITY?			
2. HAS THE METL APPROVING AUTHORITY FORMALLY APPROVED THE UNIT'S METL?			
SECTION V - MOBILIZATION PLANNING		YES	NO
1. HAVE THE MOBILIZATION FILES BEEN INSPECTED AND REVIEWED BY THE CHAIN OF COMMAND WITHIN THE LAST 12 MONTHS?			
2. HOW MANY OF THE UNIT'S PRIME MOVERS ARE DOCUMENTED ON THE UNIT AUEL AND HAVE LOAD PLANS? (NOTE: Check 100% of prime movers from property book.)			
NUMBER CHECKED	NUMBER ON AUEL	NUMBER WITH LOAD PLANS	
3. HOW MANY POSTMOBILIZATION TRAINING DAYS ARE DOCUMENTED ON THE FOLLOWING?			
TAM	USR	PTSR	POSTMOBILIZATION PLAN
REMARKS			

Figure 3-2. FORSCOM Form 1050-R, OCEP Summary Report (Continue)

XXXX-XXX (220-1a)

MEMORANDUM FOR Commander, United States Army Forces Command,
ATTN: AFOP-TRO, 1777 Hardee Avenue, SW.,
Fort McPherson, GA 30330-1062

SUBJECT: Organizational Compliance Evaluation Program Semiannual
Report, FY XX

1. The first paragraph will list units with deficiencies which preclude deployment and performance of wartime missions.
2. The second paragraph will address overall areas to sustain and areas requiring improvement. Identify trends and recommendations for policy changes to improve readiness of the force. Enclosed is complete Organizational Compliance Evaluation Semiannual or Annual Summary.
3. The third paragraph will address comments not specifically covered in paragraphs 1-2. Critical automation hardware/software/training issues may be addressed by exception.
4. For further information, contact the Team Chief, DSN: XXX-XXXX or XXX-XXX-XXXX.

FOR THE COMMANDER:

Encl
as

XXXXXXXXXXXXXXXXX
COL, XX
XXXXXXXXXX

Figure 3-3. Sample OCEP Semiannual/Annual Report Cover Memorandum

**X U.S. Army
Organizational Compliance Evaluation Program
Semiannual Report
FY XX**

Part I: ARNG (Tables will contain a separate entry for each state) Number of units reporting:

Category Unit	# ARNG Reporting	# ALABAMA Reporting
FSP1	100	7
eSB Subordinate	20	3
FSP 2	115	10
LAD C+30 or less	90	9

Average the results from the OCEP Summary Reports.

PERSONNEL

1. Availability, DMOSQ, and ETS compared to REQUIRED strength.

	% ARNG Nondeployable Due to Profile	% ARNG Required Avail	% ARNG Required Avail DMOSQ	% Alabama Nondeployable Due to Profile	% Alabama Required Avail	% Alabama Required Avail DMOSQ
Officers	2	95	92	2	95	92
WO/CWO	3	94	91	3	94	91
Enlisted	4	92	90	4	92	90
Total	3	94	91	3	94	91

2. What percent of records were current in: Dental Examinations/Family Care Plans/DEERS Enrollment?

	% ARNG Current	% Alabama Current
Dental Examinations	97	97
Family Care Plans	96	96
DEERS Enrollment	97	97

Figure 3-4. Sample OCEP Semiannual/Annual Report

MAINTENANCE

3. PMCS Spot Checks:

Type Equipment	% ARNG Mission Capable	% Alabama Mission Capable
Combat Vehicles	95	95
Other Tactical Vehicles	94	94
Individual Weapons	96	96
Crew Served Weapons	93	93
Protective Masks	92	92
Other NBC Equip	96	96
Communications Equip	94	94

4. What percent of services were scheduled and conducted on pacing items in the last six months?

% ARNG Services Completed	% Alabama Services Completed
98	98

SUPPLY

5. How many of the following OCIE/NBC items does the unit have on the property book expressed as a percent of ASSIGNED strength?

Item	% ARNG on Property Book	% Alabama on Property Book
Helmet	100	100
Body Armor	99	99
Battle Dress Over Garment	110	110
Protective Masks	112	112

Figure 3-4. Sample OCEP Semiannual/Annual Report

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TRAINING

6. Has the METL approving authority formally approved the unit's METL?

% ARNG METL Submitted for Approval	% ARNG METL Approved	% Alabama METL Submitted for Approval	% Alabama METL Approved
97	94	97	94

MOBILIZATION PLANS

7. Have the mobilization files been inspected and reviewed by the chain of command within the last year?

% ARNG Yes	% Alabama Yes
98	98

8. What percent of the unit's prime movers are documented on the unit AUEL and have load plans?

% ARNG on AUEL	% ARNG with Load Plans	% Alabama on AUEL	% Alabama with Load Plans
97	97	98	97

9. What percent of units are consistent within 10% in reporting number of post-mobilization training days between the TAM, current USR, PTSR, and post-mobilization training plan? Enter percent consistent between each pair of documents into the table.

Percent consistent ARNG

	USR	PTSR	Plan
TAM	100%	100%	100%
USR	X	100%	100%
PTSR	X	X	100%

Percent consistent Alabama

	USR	PTSR	Plan
TAM	100%	100%	100%
USR	X	100%	100%
PTSR	X	X	100%

Part II: USAR (Tables will contain a separate entry for each RSC)
See Part I for format.

Figure 3-4. Sample OCEP Semiannual/Annual Report

APPENDIX

References

AR 220-1	Unit Status Report
AR 350-1	Army Training
AR 500-5	The Army Mobilization and Operations Planning and Execution System (AMOPES)
AR 600-200	Enlisted Personnel Management System
AR 611-112	Manual Warrant Officer Military Occupation Specialists
AR 735-5	Policies and Procedures for Property Accountability
AR 750-1	Army Material Maintenance Policy and Retail Maintenance Operations
DA Pam 600-8-1	Unit Level Procedures/SIDPERS
DA Pam 710-2-1	Using Unit Supply System
FORSCOM/ ARNG Reg 350-2	Reserve Component Training in America's Army
FORSCOM Reg 350-4	Active Component (AC)/ Reserve Component (RC) Training Association Program
FORSCOM Reg 500-3-3	FORSCOM Mobilization Deployment Planning System Vol III
FM 25-100	Training the Force
FM 25-101	Battle Focused Training

GLOSSARY

Abbreviations

AC	Active Component	OIP	Organizational Inspection Program
AMOPES	Army Mob and Operations Planning & Execution System	OCIE	Organizational Clothing and Individual Equipment
AUEL	Automated Unit Equipment List	ORE	Operational Readiness Evaluation
ARNG	Army National Guard	PMCS	Preventive Maintenance Checks and Services
AT	Annual Training	PTSR	Postmobilization Training and Support Requirements
CONUSA	Continental U.S. Armies	RC	Reserve Component
FSP	Force Support Package	RSC	Regional Support Command
DARNG	Director, Army National Guard	SOP	Standard Operating Procedures
DMOSQ	Duty Military Occupational Specialty Qualification	STARC	State Area Command
FORSCOM	U.S. Army Forces Command	TAG	The Adjutant General
FORMDEPS	FORSCOM Mobilization and Deployment Planning System	TAM	Training Assessment Model
IAW	In Accordance With	TAMMS	Total Army Maintenance Management System
IDT	Inactive Duty for Training	TRADOC	US Army Training and Doctrine Command
METL	Mission Essential Task List	UIC	Unit Identification Code
MOS	Military Occupational Specialty	USR	Unit Status Report
NBC	Nuclear, Biological and Chemical	USAR	United States Army Reserve
NMC	Not Mission Capable	USARC	United States Army Reserve Command
OCEP	Organizational Compliance Evaluation Program		